

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Zuccherato et al.

Examiner: Matthew Smithers

Serial No.:

09/430,879

Art Unit: 2134

Filing Date:

11/01/1999

Docket No.: 0500.9906161

Confirmation No.: 7876

Atty. File No.: 10500.99.6161

Title: SHARED DATA INITIALIZATION QUERY SYSTEM AND METHOD

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Certificate of First Class Mailing I hereby certify that this paper is being sent via first class

mail, postage prepaid, in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA

22313-1450, on this date

APR 2 9 2004

Technology Center 2100

INFORMATION DISCLOSURE STATEMENT IN ACCORDANCE WITH 37 CFR §§ 1.97(C) AND 1.98

Pursuant to 37 CFR §§ 1.97(c) and 1.98, Applicants respectfully submit the following statement consisting of:

- 1. A list of documents; and
- 2. General remarks.

A copy of the listed document is enclosed herewith along with Form PTO/SB/08A and PTO/SB/08B.

1. **Documents**

- uents
 U.S. Patent Application Serial No. 09/478,254, Zuccherato, filed January 6, 2000 (co-pending application); 04/27/2004 ARDOFO1 00000007 220259
 - U.S. Patent No. 6,038,319, Chari, issued March 14, 2000; b.
 - U.S. Patent No. 6,226,383 B1, Jablon, issued May 1, 2001; and
 - U.S. Patent No. 6,378,072 B1, Collins et al., issued April 23, 2002. d.

BEST AVAILABLE COPY

REMARKS

The submission of the above documents is not an admission that the information is prior art, analogous or otherwise material. It is respectfully requested that the above-listed documents be considered and made of record in the present application.

The Patent Office is hereby authorized to charge the fee set forth in 37 CFR 1.17(p). A Fee Transmittal Form is attached herewith.

Respectfully submitted,

Date: 4/22/04

Christopher J. Reckamp Registration No. 34,414

Vedder, Price, Kaufman & Kammholz, P.C. 222 N. LaSalle Street Chicago, IL 60601 (312) 609-7500

FAX: (312) 609-5005

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

e Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

stitute for form 1449/PTO

Sheet

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

| Application Number | 09/430,879 | |
|------------------------|-------------------|---|
| Filing Date | 11/01/1999 | |
| First Named Inventor | Zuccherato et al. | |
| Art Unit | 2134 | |
| Examiner Name | Matthew Smithers | |
| Attorney Docket Number | 0500.9906161 | _ |

Complete if Known

| | | U. S. PATEN | DOCUMENTS | |
|--------------------------|--|--------------------------------|--|---|
| Cite No. ¹ | Document Number Number-Kind Code ^{2 (f known)} | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
| | US- 6,038,319 | 03-14-2000 | Chari | |
| | ^{US-} 6,226,383 B1 | 05-01-2001 | Jablon | |
| | ^{US-} 6,378,072 B1 | 04-23-2002 | Collins et al. | |
| | US- | | | RECEIVED |
| | US- | | | |
| | US- | | | APR 2. 9 2004 |
| | US- | | T | chaptery Center 2100 |
| | US- | | 16 | edhnology Center 2100 |
| | US- | | | |

| FOREIGN PATENT DOCUMENTS | | | | | | | |
|--------------------------|--------------|---|---------------------|--|---|----------------|--|
| Examiner Initials* | Cite No.1 | Foreign Patent Document | Publication Date | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages | | |
| | | Country Code ³ -Number ⁴ -Kind Code ⁵ (if known) | MM-DD-YYYY | | Or Relevant Figures Appear | T _e | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |

| • | | |
|-----------|--------------------|--|
| Examiner | Date Considered | |
| Signature | Considered | |

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND**TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2

PTO/SB/08B (08-03)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

| | 4. 10. | | 0.7 | re required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known | | |
|-----------------------------------|----------------------|----------|-----|---|-------------------|--|
| | te for form 1449 (2) | | | Application Number | 09/430,879 | |
| INFORMATION DISCLOSURE | | | | Filing Date | 11/01/1999 | |
| STATEMENT BY APPLICANT | | | | First Named Inventor | Zuccherato et al. | |
| | (lies en many cha | oto oo n | | Art Unit | 2134 | |
| (Use as many sheets as necessary) | | | | Examiner Name | Matthew Smithers | |
| Sheet | 2 | of | 2 | Attorney Docket Number | 0500.9906161 | |

| NON PATENT LITERATURE DOCUMENTS | | | | |
|---------------------------------|---|---|--|--|
| Examiner Initials* | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² | | |
| | · | Co-pending patent application serial no. 09/478,254, filed January 6, 2000, Zuccherato et al. | | |
| | | RECEIVED | | |
| - | | APR 2. 9 2004 | | |
| | | Technology Center 2100 | | |
| | | X | | |
| | | | | |
| | | | | |
| | | | | |
| | | ; | | |
| | | | | |

| Examiner | Date | |
|-----------|------------|--|
| Signature | Considered | |

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.